



TRANSMITTAL FORM

Application Serial Number	09/891,821
Filing Date	June 26, 2001
First Named Inventor	Stutz
Group Art Unit	2176
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-069 (1545/126)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form

<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response

<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS Citations

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission

<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input checked="" type="checkbox"/> Previously Filed Declaration and Power of Attorney for Utility or Design Patent Application (7 pgs.)

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input checked="" type="checkbox"/> Additional Enclosures

<input checked="" type="checkbox"/> Second Request for Corrected Filing Receipt
<input checked="" type="checkbox"/> Copy of Filing Receipt with changes indicated in red |
|---|--|---|

CORRESPONDENCE ADDRESS

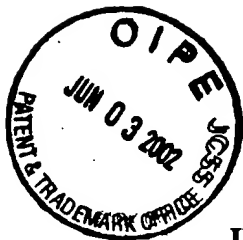
Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: May 22, 2002
Reg. No. 40,060
Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

Respectfully submitted,

John D. Lanza, Esq.
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



PATENT
Attorney Docket No. CTX-069
(1545/126)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Stutz et al.

SERIAL NO.: 09/891,821

GROUP NO.: 2176

FILED: June 26, 2001
assigned

EXAMINER: Not yet

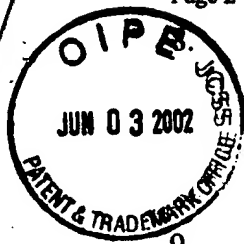
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TITLE: METHODS AND APPARATUS FOR EXTENDIBLE
INFORMATION AGGREGATION AND PRESENTATION

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, DC 20231

SECOND REQUEST FOR CORRECTED FILING RECEIPT

1. On June 26, 2001, the above-referenced patent application was filed with the Patent Office without a declaration.
2. On January 31, 2002, an executed declaration was filed in this case in response to a Notice to File Missing Parts mailed from the Patent Office on August 15, 2001.
3. The executed declaration filed on January 31, 2002 (see attached) named five inventors on this case: William Stutz, Arulnambi Kaliappan, Ronald Capwell, Paul Martin, and Todd Ogrin. The executed declaration was signed by all five named inventors.
4. On March 20, 2002, the Patent Office mailed a filing receipt for this case listing four inventors and omitting Ronald Capwell, who was named as an inventor on the executed declaration.
5. On April 5, 2002, the undersigned filed a Request for Corrected Filing Receipt in this case requesting a new filing receipt listing omitted inventor Ronald Capwell.
6. On April 26, 2002, the Patent Office mailed a Response to Request for Corrected Filing Receipt indicating that "A petition to correct inventorship is needed to make this change. See 37 CFR 1.48."
7. The undersigned respectfully submits that the Response was issued in error. As 37 CFR 1.48(e) indicates, "[i]f the correct inventor or inventors are not named on filing a nonprovisional application . . . the first submission of an executed oath or declaration . . . during the pendency of the application will act to correct the earlier identification of inventorship."



As stated above, the first submission of the executed declaration correctly identified all five inventors in this case. Through the Patent Office's error, one of the inventors named in the declaration was omitted from the filing receipt. Therefore, it is submitted that the correct vehicle to achieve correction of the filing receipt is a request to OIPE to issue a corrected filing receipt, as stated on the Patent Office's filing receipt form.

9. Therefore, the undersigned respectfully submits this Second Request for Corrected Filing Receipt. Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested, with the requested change marked in red.
10. The undersigned respectfully submits that there is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted

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Error In

1. ☒ Applicants' named
William Stutz, Clarksville, MD;
Arulnambi Kaliappan, Weston, FL;
Paul Martin, Columbia, MD;
Todd Ogrin, Columbia, MD

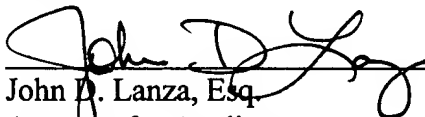
Correct Data

1. William Stutz, Clarksville, MD;
Arulnambi Kaliappan, Weston, FL;
Ronald Capwell, Eldersburg, MD
Paul Martin, Columbia, MD;
Todd Ogrin, Columbia, MD

Date: May 22, 2002
Reg. No. 40,060

Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

Respectfully submitted,


John D. Lanza, Esq.

Attorney for Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/891,821	06/26/2001	2176	876	CTX-069 (1545/126)	6	22	3

021323

TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

MAR 25 2002

CONFIRMATION NO. 1287

UPDATED FILING RECEIPT



OC000000007674100

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Date Mailed: 03/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William Stutz, Clarksville, MD;
Arulnambi Kaliappan, Weston, FL;
Paul Martin, Columbia, MD;
Todd Ogrin, Columbia, MD;

Domestic Priority data as claimed by applicant

Ronald Capwell, Eldersburg, MD

No Docketing Necessary

KFS

Administrator

3-25-02

Date

Reviewed & Approved

Resp. Atty

3/26/02

Date

Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: 12/26/2002

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus for extendible information aggregation and presentation

Preliminary Class

707



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

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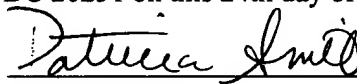
PATENT
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FILING DATE: June 26, 2001 EXAMINER: Not Applicable
TITLE: METHODS AND APPARATUS FOR EXTENDIBLE INFORMATION
AGGREGATION AND PRESENTATION

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Office of Initial Patent Examination, Customer Service Center, Commissioner for Patents, Washington, DC 20231 on this 24th day of May, 2002.


Patricia Smith

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

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Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Second Request for Corrected Filing Receipt (1 pg.);
Copy of Filing Receipt with changes indicated in red (2 pgs.);
Copy of Previously Filed Declaration (7 pgs.); and
a return receipt postcard.